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# NOTICE OF ALLOWANCE AND FEE(S) DUE

2352

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02/13/2004

OSTROLENK FABER GERB & SOFFEN 1180 AVENUE OF THE AMERICAS NEW YORK, NY 100368403 EXAMINER

TOLEDO, FERNANDO L

ART UNIT

PAPER NUMBER

2823

DATE MAILED: 02/13/2004

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/634.453	08/05/2003	Martin Standing	IR-2551 DIV	6714	

TITLE OF INVENTION: CHIP SCALE SURFACE MOUNTED DEVICE AND PROCESS OF MANUFACTURE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1330	\$300	\$1630	05/13/2004

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

If the SMALL ENTITY is shown as NO:

- A. Pay TOTAL FEE(S) DUE shown above, or
- B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.
- ☐ Applicant claims SMALL ENTITY status. See 37 CFR 1.27.
- II. PART B FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.
- III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing n applications filed n or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

C mplete and send this f rm, t gether with applicable fee(s), t: Mail

Mail Stop ISSUE FEE C mmissioner f r Patents P.O. Box 1450

Alexandria, Virginia 22313-1450 (703) 746-4000 r <u>Fax</u> INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications. Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1) 2352 7590 02/13/2004 OSTROLENK FABER GERB & SOFFEN Certificate of Mailing or Transmission I hereby certify that this Fec(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile 1180 AVENUE OF THE AMERICAS NEW YORK, NY 100368403 transmitted to the USPTO, on the date indicated below. (Depositor's name (Signature (Date ATTORNEY DOCKET NO. CONFIRMATION NO. FIRST NAMED INVENTOR APPLICATION NO. FILING DATE IR-2551 DIV 6714 08/05/2003 Martin Standing 10/634.453 TITLE OF INVENTION: CHIP SCALE SURFACE MOUNTED DEVICE AND PROCESS OF MANUFACTURE DATE DUE TOTAL FEE(S) DUE SMALL ENTITY ISSUE FEE PUBLICATION FEE APPLN. TYPE 05/13/2004 \$1630 \$1330 \$300 NO nonprovisional CLASS-SUBCLASS **EXAMINER** ART UNIT 438-614000 2823 TOLEDO, FERNANDO L 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single  $\hfill \Box$  Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent ☐ "Fee Address" indication (or "Fee Address" Indication form attorneys or agents. If no name is listed, no name TO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer will be printed. Number is required. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) Please check the appropriate assignee category or categories (will not be printed on the patent); ☐ individual ☐ corporation or other private group entity government 4a. The following fee(s) are enclosed: 4b. Payment of Fee(s): ☐ A check in the amount of the fee(s) is enclosed. ☐ Issue Fee ☐ Payment by credit card. Form PTO-2038 is attached. ☐ Publication Fee ☐ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number \_\_\_\_\_\_\_(enclose an extra copy of this form). ☐ Advance Order - # of Copies Deposit Account Number Director for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. (Authorized Signature) (Date) NOTE; The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or oth interest as shown by the records of the United States Patent and Trademark Office

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450.

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# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

APPLICATION N	O. F	ILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/634,453		08/05/2003	Martin Standing	Martin Standing IR-2551 DIV 67	
2352	7590	02/13/2004		EXAM	INER
OSTROLENK FABER GERB & SOFFEN 1180 AVENUE OF THE AMERICAS			TOLEDO, FERNANDO L		
NEW YORK, NY 100368403			ART UNIT	PAPER NUMBER	
	,			2823	
				DATE MAILED: 02/13/200	4

### Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 0 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 0 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) system (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (703) 305-1383. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.

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	Application No.	Applicant(s)
	10/634,453	STANDING ET AL.
Notice of Allowability	Examiner	Art Unit
	Fernando Toledo	2823
The MAILING DATE of this communication appeal All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI	(OR REMAINS) CLOSED in this ap or other appropriate communication IGHTS. This application is subject t	plication. If not included n will be mailed in due course. <b>THIS</b>
1. This communication is responsive to <u>05 August 2003</u> .		
2. ⊠ The allowed claim(s) is/are <u>1-9</u> .		
3. The drawings filed on <u>05 August 2003</u> are accepted by the	Examiner.	
4. Acknowledgment is made of a claim for foreign priority uner a) All b) Some* c) None of the:  1. Certified copies of the priority documents have 2. Certified copies of the priority documents have 3. Copies of the certified copies of the priority do International Bureau (PCT Rule 17.2(a)).  * Certified copies not received:  Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.  5. A SUBSTITUTE OATH OR DECLARATION must be submained including changes required by the Notice of Draftspers 1) hereto or 2) to Paper No./Mail Date  (a) including changes required by the attached Examiner' Paper No./Mail Date  Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in the company of the paper No. In the deposit of the proper No. INFORMATION about the deposit of the paper No. INFORMATION about the deposit of the priority documents and the deposit of the priority documents and the deposit of the priority documents and the paper No. INFORMATION about the deposit of the priority documents and the paper No. INFORMATION about the deposit of the priority documents and the paper No. INFORMATION about the deposit of the priority documents and the paper No. INFORMATION about the deposit of the priority documents and the paper No. INFORMATION about the deposit of the priority documents and the priority documents have a priority documents have a priority document and the priority documents have a priority documents have a priority documents have a priority documents have a priority document and the priority documents have a priority documents have a priority documents have a priority documents have a priority document and the priority documents have a priority documents have a priority documents have a priority document and the priority	e been received. e been received in Application No cuments have been received in this of this communication to file a reply MENT of this application.  itted. Note the attached EXAMINER es reason(s) why the oath or declara st be submitted. son's Patent Drawing Review ( PTO . s Amendment / Comment or in the C . 84(c)) should be written on the drawithe header according to 37 CFR 1.1216 sit of BIOLOGICAL MATERIAL	national stage application from the complying with the requirements  A'S AMENDMENT or NOTICE OF ation is deficient.  -948) attached  Office action of ings in the front (not the back) of (d).  must be submitted. Note the
Attachm nt(s)  1. ☑ Notice of References Cited (PTO-892)  2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)  3. ☐ Information Disclosure Statements (PTO-1449 or PTO/SB/C Paper No./Mail Date  4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material	6. Interview Summary Paper No./Mail Da 08), 7. Examiner's Amend	ate

Art Unit: 2823

## **DETAILED ACTION**

### Allowable Subject Matter

1. Claims 1-9 are allowed over the prior art of record.

2. The following is an Examiner's statement of reasons for allowance: Wang et al. in the U.

S. patent 6,362,087 B1 substantially discloses the claimed invention. However, Wang does not

show, teach or disclose "forming a passivation insulation layer over the silicon surface exposed

by the removal of the mask layer with the metals remaining in the at least first and second

openings and protruding beyond the surface of the passivation insulation layer and terminating in

a common plane above the passivation layer" as stated in claim 1.

Wang discloses forming the passivation insulating layer before forming the metals in the

at least first and second openings. There is no reason, aside of improper hindsight to state that

Wang discloses the invention as claimed or that it would be an obvious variation of the invention

of Wang. Therefore the claimed invention as a whole is neither anticipated nor rendered obvious

over the prior art of record.

Any comments considered necessary by applicant must be submitted no later than the

payment of the issue fee and, to avoid processing delays, should preferably accompany the issue

fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for

Allowance."

Application/Control Number: 10/634,453

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Conclusion

Any inquiry concerning this communication or earlier communications from the

Page 3

examiner should be directed to Fernando Toledo whose telephone number is 703-305-0567 and

after February 4th, 2004 the Examiner can be reached at 571-272-1867. The Examiner can

normally be reached on Mon-Fri 8am to 4pm.

If attempts to reach the Examiner by telephone are unsuccessful, the Examiner's

supervisor, Olik Chaudhuri can be reached on 703-306-2794 and after February 4th, 2004, the

supervisor can be reached at 571-272-1855. The fax phone number for the organization where

this application or proceeding is assigned is 703-308-7382.

Any inquiry of a general nature or relating to the status of this application or proceeding

should be directed to the receptionist whose telephone number is 703-308-0956.

Information regarding the status of an application may be obtained from the Patent

Application Information Retrieval (PAIR) system. Status information for published applications

may be obtained from either Private PAIR or Public PAIR. Status information for unpublished

applications is available through Private PAIR only. For more information about the PAIR

system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR

system, contact the Electronic Business Center (EBC) at 866,21,7-9197 (toll-free).

George Fourson

Primary Examiner

Art Unit 2823

February 2, 2004